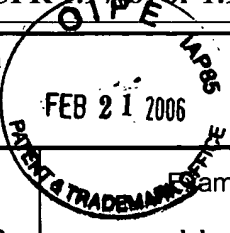


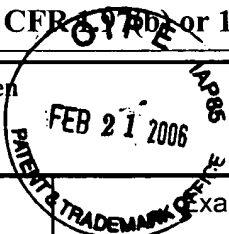
LPW

<b>TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT</b> (Under 37 CFR 1.97(b) or 1.97(c))				Docket No. CSO-0001-P	
In Re Application Of: Sophie Chen					
					
Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/647,458	August 1, 2003	delacroix	23413	1614	2036
Title: BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE					
<p>Address to: Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450</p> <p><b>37 CFR 1.97(b)</b></p> <p>1. <input checked="" type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.</p> <p><b>37 CFR 1.97(c)</b></p> <p>2. <input type="checkbox"/> The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:</p> <p><input type="checkbox"/> the statement specified in 37 CFR 1.97(e);</p> <p><b>OR</b></p> <p><input type="checkbox"/> the fee set forth in 37 CFR 1.17(p).</p>					

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
CSO-0001-P

In Re Application of: Sophie Chen



Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/647,458	August 1, 2003	delacroix	23413	1614	2036

Title: **BOTANICAL EXTRACT COMPOSITIONS AND METHODS OF USE**

**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. 06-1130  
as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☒ Credit any overpayment.
- ☒ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

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**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

February 17, 2006

\_\_\_\_\_  
(Date)

*Tracy A. Axiak*  
Signature of Person Mailing Correspondence

Tracy A. Axiak

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

*Karen A. LeCuyer*  
Signature

Dated: February 17, 2006

Karen A. LeCuyer  
Registration No. 51,928  
Phone No. 860-286-2929

CC:

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Sophie Chen	)	
Serial No.:	10/647,458	)	Group Art Unit: 1614
Filing Date:	August 1, 2003	)	Examiner: NYA
For:	BOTANICAL EXTRACT	)	
	COMPOSITIONS AND METHODS	)	
	OF USE	)	



INFORMATION DISCLOSURE STATEMENT  
UNDER 37 CFR §§ 1.56, 1.97 AND 1.98

Commissioner for Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In compliance with the duty to disclose, submitted herewith is form PTO-A820 (PTO-1449) listing publication(s) of which those designated by 37 CFR § 1.56 are aware. Copies of the non-United States patents or published applications are enclosed.


The filing of this Information Disclosure Statement shall not be construed as a representation that a search has been made, or an admission that the information cited is, or is considered to be, material to patentability.

Respectfully submitted,

CANTOR COLBURN LLP

By: Karen A. LeCuyer  
Karen A. LeCuyer Ph.D.  
Registration No. 51,928

Date: February 17, 2006  
Customer No. 23,413  
(860) 286-2929

<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary) 	Docket Number (Optional) <b>CSO-0001-P</b>	Application Number <b>10/647,458</b>
	Applicant(s) <b>Sophie Chen</b>	
	Filing Date <b>August 1, 2003</b>	Group Art Unit <b>1614</b>

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

		Ikemoto, et al. "ANTITUMOR EFFECTS OF Scutellariae radix AND ITS COMPONENTS BAICALEIN, BAICALIN, AND WOGONIN ON BLADDER CANCER CELL LINES". Basic Science. Urology. Vol. 55. No. 6. 2000. pp. 951-955

**EXAMINER**

**DATE CONSIDERED**

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.